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PATENT  
TECH CENTER 1600/2900 Docket No. 041673-2007  
Client Ref. SD 97108-1

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

Date of Deposit: 8-11-99

I hereby certify that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Christina Yates  
Printed Name

Signature C. Yates

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Ethan Bier, et al.

Serial No.: 09/215,569

Filing Date: 12/16/98

For: PEPTIDE INHIBITOR OF TGF-BETA  
GROWTH FACTORS

Examiner: Not Assigned

Group Art Unit: 1643

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
  - ☐ A fee is required. An authorization to charge the deposit account is provided below.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 50-0872.**

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: 8-11-99

Respectfully submitted,

By: Stacy L. Taylor  
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